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Exhibit 4
Proof of Claim

United States Bankruptcy Court, Northern District of California

RECEIVED
OCT 18 2019
PRIME CLERK LLC

Fill in this information to identify the case (Select only one Debtor per claim form):

- ☐ PG&E Corporation (19-30088)
☒ Pacific Gas and Electric Company (19-30089)

- ☒ Date Stamped Copy Returned
☐ No Self-Addressed Stamped Envelope
☐ No Copy Provided

Official Form 410

Proof of Claim

04/16

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Unless an exception in the Bar Date Order applies to you, you should not use this form to submit a claim that arises out of or relates to the fires that occurred in Northern California prior to January 29, 2019.

Part 1: Identify the Claim

1. Who is the current creditor?	California Department of Water Resources Name of the current creditor (the person or entity to be paid for this claim) Other names the creditor used with the debtor	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom?	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? Danette E. Valdez Supervising Deputy Attorney General Department of Justice 455 Golden Gate Avenue San Francisco, CA 94102 (415) 610-3500 danette.valdez@doj.ca.gov Katharine S. Killeen Assistant Chief Counsel 1416 9th Street Sacramento, CA 95814 (916) 653-5791 katharine.killeen@water.ca.gov	Where should payments to the creditor be sent? (if different) Contact phone See above Contact email See above
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known)	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing?	

Filed on MM / DD / YYYY



Part 2:**Give Information About the Claim as of the Date the Case Was Filed**

6. Do you have any number you use to identify the debtor?

☒ No

☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: _____

7. How much is the claim?

\$ See attached Supplemental Statement

Does this amount include interest or other charges?

☐ No

☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim?

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.

Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).

Limit disclosing information that is entitled to privacy, such as health care information.

See attached Supplemental Statement

9. Is all or part of the claim secured?

☒ No

☐ Yes. The claim is secured by a lien on property.

Nature of property:

☐ Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

☐ Motor vehicle

☐ Other. Describe: _____

Basis for perfection: _____

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____

Amount of the claim that is secured: \$ _____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amounts should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____%

☐ Fixed

☐ Variable

10. Is this claim based on a lease?

☒ No

☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff?

☒ No

☐ Yes. Identify the property: _____

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

☒ No

☐ Yes. Check one:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

\$ _____

☐ Up to \$2,850 of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

☐ Wages, salaries, or commissions (up to \$12,850) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ _____

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/19 and every 3 years after that for cases begun on or after the date of adjustment.

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☐ I am the creditor.

☒ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10/17/2019 (mm/dd/yyyy)


Signature

Print the name of the person who is completing and signing this claim:

Name	Masoud Shafa		
	First name	Middle name	Last name
Title	Chief, Transmission Planning Branch, State Water Project Power and Risk Office		
Company	California Department of Water Resources		
	Identify the corporate servicer as the company if the authorized agent is a servicer.		
Address	PO Box 942836		
	Number	Street	
	Sacramento	CA	94236-0001
	City	State	ZIP Code
Contact phone	916-574-1296	Email	masoud.shafa@water.ca.gov

1 XAVIER BECERRA, SBN 118517
Attorney General of California
2 ANNADEL A. ALMENDRAS, SBN 192064
DANETTE E. VALDEZ, SBN 141780
3 Supervising Deputy Attorneys General
455 Golden Gate Avenue, Suite 11000
4 San Francisco, CA 94102-7004
Telephone: (415) 510-3367
5 Email:
Annadel.Almendras@doj.ca.gov
6 Danette.Valdez@doj.ca.gov
Attorneys for California Department of
7 *Water Resources*

8
9
10 IN THE UNITED STATES BANKRUPTCY COURT
11 FOR THE NORTHERN DISTRICT OF CALIFORNIA
12 SAN FRANCISCO DIVISION
13

14
15 **In re:**

16 **PACIFIC GAS AND ELECTRIC
COMPANY,**

17
18 **Debtor.**
19
20
21

No. 19 30089 (DM)

Chapter 11

**CALIFORNIA DEPARTMENT OF
WATER RESOURCES' SUPPLEMENTAL
STATEMENT IN SUPPORT OF PROOF
OF CLAIMS RE THERMALITO POWER
PLANT RESTORATION PROJECT AND
CASTLE ROCK OPERATIONS AND
MAINTENANCE REFUNDS**

22
23
24 The California Department of Water Resources (DWR) submits this supplemental
25 statement in support of its Proof of Claim relating to a refund Debtor, Pacific Gas & Electric
26 (PG&E) owes to DWR based on funds DWR advanced to PG&E.
27
28

BACKGROUND

Established in 1956 by the California State Legislature, DWR protects, conserves, develops, and manages much of California's water supply. This includes the State Water Project (SWP), the nation's largest state-built water conveyance program.

The SWP supplies water to an almost 27 million Californians and 750,000 acres of farmland. It spans more than 700 miles from Northern California to Southern California and includes 34 storage facilities, 20 pumping plants, 5 hydroelectric power plants, 4 pumping-generating plants, and approximately 700 miles of canals, tunnels, and pipelines. In addition to supplying high-quality water for California's cities, industries, and farms, the SWP also provides flood control, hydroelectric power generation, recreational opportunities, and ecosystem enhancements to protect fish and wildlife habitat.

DWR's major responsibilities include:

- Overseeing the statewide process of developing and updating the California Water Plan
- Planning, designing, constructing, operating, and maintaining the SWP
- Protecting and restoring the Sacramento-San Joaquin Delta
- Regulating dams, providing flood protection, and assisting in emergency management
- Working to preserve the natural environment and wildlife
- Educating the public about the importance of water, water conservation, and water safety
- Providing grants and technical assistance to service local water needs
- Collecting, analyzing, and reporting data in support of DWR's mission to manage and protect California's water resources

In connection with carrying out its responsibilities, DWR has entered into various agreements with PG&E. One of these agreements was a Work Performance Agreement for the Thermalito Power Plant Restoration Project (Thermalito Agreement) dated June 27, 2016. Under this agreement, PG&E agreed to conduct plant restoration work to return the Thermalito plant back to its operating capacity prior to a fire that occurred on November 22, 2012. The terms of

1 the agreement required DWR to pay PG&E \$230,000 in advance for the work, but if the actual
2 costs are less than the funds advanced, DWR is entitled to a refund for the difference. DWR
3 expects that the costs will ultimately be less than the funds it advanced PG&E and therefore it
4 will be entitled to a refund from PG&E. The amount of the refund is uncertain at this time, but
5 DWR estimates that the refund is at least \$15,000. DWR submits this claim to protect its interests
6 against PG&E in the event that it seeks to reject the Thermalito Agreement or its obligations
7 thereunder.

8 Another agreement with PG&E is the Agreement of Cotenancy in the Castle Rock
9 Junction-Lakeville 230-kV Transmission Line (Castle Rock Agreement). Pursuant to the Castle
10 Rock Agreement, DWR paid PG&E for Operations & Maintenance in advance for the year of
11 July 1, 2019 through July 31, 2020. However, DWR terminated its participation in the Castle
12 Rock Agreement, effective August 1, 2019. Therefore, PG&E is required to refund DWR
13 \$101,026.75, which represents eleven months of overpayment for Operations & Maintenance.

14 DWR reserves the right to revise, amend, and/or supplement its Proof of Claim and this
15 Supplemental Statement at a future date in the event additional information becomes available or
16 is necessary to support its claim.

17 **RESERVATION OF RIGHTS**

18 DWR makes this claim for itself and no other agency, unit, or entity of the State of
19 California. Any waiver of sovereign immunity under the law resulting from the filing of this
20 claim is by DWR, and no other agency, unit, or entity of the State of California, and is strictly
21 limited to this claim.

22 Neither this Proof of Claim and Supplemental Statement nor any subsequent appearance,
23 pleading, proof of claim, claim, or suit is intended to or shall be deemed or construed as:

24 (a) consent by DWR to the jurisdiction of this Court or any other court with respect to
25 proceedings, if any, commenced in any case against or otherwise involving the DWR;

26 (b) a waiver of any right of DWR to (i) have an Article III Court adjudicate in the first
27 instance any case, proceeding, matter, or controversy as to which a Bankruptcy Court may not
28 enter a final order or judgement consistent with Article III of the United States Constitution, (ii)

1 have final orders in non-core matters entered only after de novo review by a District Court, (iii)
2 trial by jury in any proceeding so triable in the Chapter 11 Cases or in any case, controversy, or
3 proceeding related to the Chapter 11 Cases, (iv) have the United States District Court withdraw
4 the reference in any matter subject to mandatory or discretionary withdrawal, or (v) any and all
5 rights, claims, actions, defenses, setoffs, recoupments, or remedies to which DWR is or may be
6 entitled under agreements, in law or in equity, all of which rights, claims, actions, defenses,
7 setoffs, and recoupments are expressly reserved hereby; or

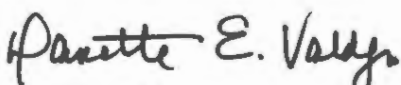
8 (c) A waiver of any objections or defenses that DWR, the State of California, or any
9 other agency, unit or entity of the State of California may have to this Court's jurisdiction over
10 DWR, the State of California, or such other agency, unit or entity based upon the Eleventh
11 Amendment to the United States Constitution or related principles of sovereign immunity or
12 otherwise, all of which objections and defenses are hereby reserved.

13 All correspondence and pleadings pertaining to DWR's Proofs of Claims and/or this
14 Supplemental Statement in support of the Proof of Claim, and any amendments or supplements,
15 should be directed to the undersigned.

16 Dated: October 17, 2019

Respectfully submitted,

18 XAVIER BECERRA
19 Attorney General of California
20 ANNADEL A. ALMENDRAS
21 Supervising Deputy Attorney General

22 
23 DANETTE E. VALDEZ
24 Supervising Deputy Attorney General
25 *Attorneys for California Department of*
26 *Water Resources*

XAVIER BECERRA
Attorney General

State of California
DEPARTMENT OF JUSTICE



455 GOLDEN GATE AVENUE, SUITE 11000
SAN FRANCISCO, CA 94102-7004

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Telephone: (415) 510-3367
Facsimile: (415) 703-5480
E-Mail: Annadel.Almendras@doj.ca.gov

October 15, 2019

Via Overnight Delivery

PG&E Corporation Claims Processing Center
c/o Prime Clerk LLC
850 Third Avenue, Suite 412
Brooklyn, NY 11232

RE: Proof of Claim – *In re Pacific Gas and Electric Company* (Chapter 11)
United States Bankruptcy Court, Northern District of California, Case No. 19-30089 (DM)

Dear Prime Clerk:

Enclosed please find an original and one copy of a Proof of Claim and supporting Supplemental Statement to be filed in the Pacific Gas and Electric Company bankruptcy matter. Also enclosed is a self-addressed stamped envelope.

Please return a conformed filed-endorsed copy of the Proof of Claim and Supplemental Statement in the self-addressed stamped envelope. If you have any questions or require additional information, please contact me at (415) 510-3367.

Sincerely,

A handwritten signature in black ink, appearing to read "Annadel A. Almendras".

ANNADEL A. ALMENDRAS
Supervising Deputy Attorney General

For XAVIER BECERRA
Attorney General

AAA:ps